



Inventory for FOIA Request 2014-0358-F

Records Created by or Sent to Christopher Cox, SEC Chair

Extent

6 folders, approximately 174 pages / 128 assets

Access

Collection is open to all researchers. Access to George W. Bush Presidential Records is governed by the Presidential Records Act (PRA) (44 USC 2201) and the Freedom of Information Act (FOIA) (5 USC 552, as amended) and therefore records may be restricted in whole or in part in accordance with legal exemptions.

Copyright

Documents in this collection that were prepared by officials of the United States government as part of their official duties are in the public domain. Researchers are advised to consult the copyright law of the United States (Title 17, USC) which governs the making of photocopies or other reproductions of copyrighted material.

Provenance

Official records of George W. Bush's presidency are housed at the George W. Bush Presidential Library and administered by the National Archives and Records Administration (NARA) under the provisions of the Presidential Records Act (PRA).

Processing by

Staff Archivists, 2016. Previously restricted materials are added as they are released. Last Modified: 09/18/2020

Scope and Content

The materials in FOIA 2014-0358-F are a selective, not necessarily all inclusive, body of documents responsive to the topic of the FOIA. Researchers should consult the archivist about related materials.

FOIA 2014-0358-F contains materials related to records created by or sent to Christopher Cox, Securities and Exchange Commission (SEC) Chair, during his service in the administration of President George W. Bush.

This FOIA primarily contains routing forms, reports, and correspondence. Correspondence present includes letters from Chairman Christopher Cox to President George W. Bush transmitting annual reports of the Securities Investor Protection Corporation (SIPC). Also included are the 2004 and 2005 annual reports from the SIPC. Electronic records consist of talking points, invitations, scheduling requests, thank you messages, a report, and an article.

Invitations to farewell and swearing-in receptions are present, as well as invitations and scheduling requests for meetings. There are thank you messages from Chairman Cox regarding mentions of the SEC in a speech given by President George W. Bush and a thank you message to staff members at the end of the administration. Additionally, there are talking points on the Transatlantic Economic Partnership, the November 2006 report from the Committee on Capital Markets Regulation, a letter to Chairman Cox from the Financial Services Roundtable, and information regarding the Emergency Economic Stabilization Act of 2008.

System of Arrangement

The materials in FOIA 2015-0358-F are a systematic body of documents responsive to the topic of the FOIA. Researchers should consult an archivist about related materials.

Documents responsive to this FOIA were found in these collection areas – White House Office of Records Management (WHORM) Subject Files, Staff Member Office Files, and George W. Bush Presidential Electronic Records.

Staff Member Office Files are maintained at the folder level by staff members within their individual offices and document all levels of administration activity.

Staff Member Office Files are processed at the folder level, that is, individual documents are not selected and removed from a folder for processing. While this method maintains folder integrity, it frequently results in the incidental processing of documents that are not wholly responsive to the subject area.

WHORM Subject Files are processed at the document level.

The WHORM Subject File compiled by the White House Office of Records Management is comprised of a series of documents assigned a letter/number combination and filed in a subject category. A complete listing of the subject categories including a detailed description of each category is available in our research room and on our website at www.georgewbushlibrary.smu.edu

The Executive Office of the President (EOP) instance of the Electronic Records Archive (ERA) contains electronic records created or received by President George W. Bush. These records are stored in Search and Access Sets (SAS) that represent their originating computer program, such as Exchange Email or the Worker and Visitor Entry System (WAVES). In addition to records captured from the White House's electronic systems, EOP-ERA also contains records from the home and shared drives used by White House staff.

The following is a list of electronic search results lists (SRLs) and folders processed in response to FOIA 2014-0358-F:

George W. Bush Electronic Records

Exchange_From contains 55 assets.

Exchange_To contains 73 assets.

George W. Bush Textual Records

Box 1

Records Management, White House Office of

Subject Files - FG250 (Securities Investor Protection Corporation)

675352

707870

738430

Staff Member Office Files

Counsel's Office, White House

Hoyt, Robert (Bob) - Subject Files

[Untitled]: SEC - Aguirre Letters on Hedge Funds

Miers, Harriet E. - Subject Files

SEC

Legislative Affairs, White House Office of

Kirk, Matthew - Subject Files

Binder - Confirmation Hearing Briefing Book - Securities and Exchange Committee